Staircases and dominoes: Bounding the growth rate of 1324-avoiding permutations.

Andrew Elvey Price Joint work with David Bevan, Robert Brignall and Jay Pantone.

The University of Melbourne (and LaBRI)

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- We are mostly interested in the behaviour of the sequence $|Av_n(\pi)|$ for each permutation π .

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- Remarkably, these are all Wilf equivalent as

$$|\operatorname{Av}_n(123)| = |\operatorname{Av}_n(132)| = \frac{1}{n+1} \binom{2n}{n},$$

the *n*th Catalan number.

LENGTH 4 PATTERNS

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- The sequence $|Av_n(1234)|$ is not algebraic, but it is D-finite.
- The sequence $|Av_n(1324)|$ is a mystery.





LENGTH 4 PATTERNS



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MARCOS-TARDOS THEOREM

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exists, and is finite. This is called the Stanley-Wilf limit of π .

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$$gr(Av(1234...n)) = (n-1)^2$$
.

- We already saw that for patterns π of length 3, the sequence $|Av_n(\pi)|$ is given by the Catalan numbers, so $gr(Av(\pi)) = 4$.
- gr(Av(1342)) = 8.
- grAv(1234)) = 9.
- $gr(Av(1234...n)) = (n-1)^2.$
- Very few other Stanley-Wilf limits are known.

Some History of Stanley-Wilf limits

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- Fox (2013):

For $\alpha < 1/4$, almost all permutations π satisfy

 $\operatorname{gr}(\operatorname{Av}(\pi)) > 2^{|\pi|^{\alpha}}.$

• This is difficult.

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- Tony Guttmann's analysis of this series suggests that

$$|\operatorname{Av}_n(1324)| \sim B \cdot \mu^n \cdot \mu_1^{n^{\sigma}} \cdot n^{\theta},$$

where $\mu = \text{gr}(\text{Av}(1324)) \approx 11.6$, $\sigma = 1/2$, $\mu_1 \approx 0.04$ and *B* is some constant.

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• The presence of the $\mu_1^{n^{\sigma}}$ term suggests that the sequence $Av_n(1324)$ is not D-finite.

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2004: Bóna

$\text{gr}(\text{Av}(1324)) \leq 288$

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Theorem (Bevan, Brignall, E., Pantone)

 $10.27 \le gr(Av(1324)) \le 13.5.$





• p_1 uppermost 1 in a 213.



- p_1 uppermost 1 in a 213.
- p_2 leftmost 2 in a 132 consisting of points below p_1 divider.



- p_2 leftmost 2 in a 132 consisting of points below p_1 divider.
- No points above p_1 and to the right of p_2 .



- p_2 leftmost 2 in a 132 consisting of points below p_1 divider.
- p_3 uppermost 1 in a 213 consisting of points to right of p_2 divider.



- p_3 uppermost 1 in a 213 consisting of points to right of p_2 divider.
- No points to the left of p_2 and below p_3 .



- p_3 uppermost 1 in a 213 consisting of points to right of p_2 divider.
- p_4 leftmost 2 in a 132 consisting of points below p_3 divider.



- p_4 leftmost 2 in a 132 consisting of points below p_3 divider.
- No points above p_3 and to the right of p_4 .



• The final cell avoids 213.

A LARGE STAIRCASED 1324-AVOIDER



Data provided by Einar Steingrímsson.

Upper Bound on gr(Av(1324))



Upper Bound on gr(Av(1324))



This already gives us an upper bound: $|Av_n(1324)|$ is less than the number of staircases with *n* points, which grows like 16^n .





That is, a 1324-avoiding staircase with two cells.

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Claim: The number of *n*-point dominoes is:



That is, a 1324-avoiding staircase with two cells.



The number of (1324-avoiding) dominoes with n points is

$$\frac{2(3n+3)!}{(n+2)!(2n+3)!}$$

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Enrica Duchi talked about these numbers yesterday!
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Enrica Duchi talked about these numbers yesterday! This is also the number of...

• Fighting fish with semi-perimeter n + 2.

$$\frac{2(3n+3)!}{(n+2)!(2n+3)!}$$

- Fighting fish with semi-perimeter n + 2.
- West two-stack sortable permutations of length n + 1.

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- Fighting fish with semi-perimeter n + 2.
- West two-stack sortable permutations of length n + 1.
- Left ternary trees with n + 1 vertices.
- Rooted non-separable planar maps with n + 1 edges.







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• vertically project the points in the permutation.



A 213-avoider and a 132-avoider with their arch systems

Staircases and dominoes: Bounding the growth rate of 1324-avoiding permutations.

- vertically project the points in the permutation.
- draw an arch between two points when the right point in the permutation is one higher than the left point.



A 213-avoider and a 132-avoider with their arch systems

These arch systems satisfy the following properties:





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- Each point is at the left end of at most one arch.
- Each point is at the right end of at most one arch.





These arch systems satisfy the following properties:

- Each point is at the left end of at most one arch.
- Each point is at the right end of at most one arch.
- No two arches cross.





BIJECTING DOMINOES TO ARCH CONFIGURATIONS.

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BIJECTING DOMINOES TO ARCH CONFIGURATIONS.

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BIJECTING DOMINOES TO ARCH CONFIGURATIONS.

- This naturally extends to a bijection between dominoes and arch configurations.
- The 1324-avoiding condition corresponds to forbidding the pattern in the arch configuration.



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It helps to count prefixes of arch systems, which may have open red arches.

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We use a generating function A(t, z) which counts these prefixes by points (*t*) and number of open arches (*z*).

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It helps to count prefixes of arch systems, which may have open red arches.

We use a generating function A(t, z) which counts these prefixes by points (*t*) and number of open arches (*z*).

Then the number of dominoes with *n* points is $[t^n]A(t, 0)$.

DECOMPOSITION OF ARCH SYSTEM PREFIXES



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This decomposition leads to the equation:

$$A(z) = tA(z) + tzA(z) + t(1+z)\frac{A(z) - A(0)}{z} + \frac{1}{1 - tA(z)}$$

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- Now we have enumerated dominos!
- We can use these dominoes to get better upper and lower bounds for gr(Av(1324))

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• Start with a 1324-avoiding permutation, and staircase it.



- Start with a 1324-avoiding permutation, and staircase it.
- Use Φ to construct a large domino as shown.



- Start with a 1324-avoiding permutation, and staircase it.
- Use Φ to construct a large domino as shown.
- Φ is not injective.



$$\Psi$$
 : Av_n(1324) \rightarrow $(\bullet, \bullet)^n \times D_n$



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Upper bound on gr(Av(1324))

$$\Psi : \operatorname{Av}_n(1324) \to (\bullet, \bullet)^n \times D_n$$



Upper bound on gr(Av(1324))

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• The vertical interleaving can be recovered from the •• sequence.

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Upper bound on gr(Av(1324))

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The vertical interleaving can be recovered from the ●● sequence.
Ψ is injective. gr(Av(1324)) ≤ 2 × 27/4 = 13.5

LOWER BOUND

Staircases and dominoes: Bounding the growth rate of 1324-avoiding permutations.

LOWER BOUND



This staircase always defines a 1324-avoider.

• To get a lower bound, we can count staircases whose cells alternate 132-avoiding and 12-avoiding.

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- We can enumerate these exactly: their exponential growth rate is 9.





• Count 1324-avoiding staircases in which every third cell is 12-avoiding.

• Since we have enumerated dominoes, we can enumerate these exactly.

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- To avoid over counting, we only use 213-avoiders which start with 1.
- Taking limits carefully, this gives a lower bound for gr(Av(1324)) of 10.125.

BETTER BOUNDS

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- We have been unable to refine the upper bound further than $gr(Av(1324)) \le 13.5$.
- There is still a long way to go to get near Guttmann's estimate of 11.6.

QUESTIONS FOR THE AUDUENCE

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Can anyone...

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Can anyone...

• Find a bijective proof of the number of dominoes?

Can anyone...

- Find a bijective proof of the number of dominoes?
- Enumerate the number of 1324-avoiding staircases with 3 cells?

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- Find a bijective proof of the number of dominoes?
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- Improve the upper bound?
- Compute |Av₁₀₀₀(1324)|??

THANK YOU

Staircases and dominoes: Bounding the growth rate of 1324-avoiding permutations.